JC03 Rec'd PCT

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TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NUMBER 49288.0900

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/528,438 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PCT/JP03/12040 20 September 2002 19 September 2003 TITLE OF INVENTION INTERACTIVE APPARATUS <sup>,</sup>52843**8** APPLICANT(S) FOR DO/EO/US Shinichi Yamamoto, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. 🔲 items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4.  $\square$ A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). a. b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🗆 An English language translation of the International Application as filed (35 U.S.C. is attached hereto. a. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. đ. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. XI An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. 🔲 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. 🗌 13. 🔲 A preliminary amendment. 14. An application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 19. 🗌 Other items or information: 20. 🛛

Inis collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. VA 22313-1450.

Copy of Notification of Missing Requirements; Return Postcard; Express Mail No. EL426461632US

PTO-1390 (Rev. 02-2005)

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U.S. APPLICATION NO. ( if known, see 37 CFR INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER 10/528,438 PCT/JP03/12040 49288.0900 The following fees are submitted: **CALCULATIONS** PTO USE ONLY 21. 🗆 \$ \$0.00 \$300 Examination fee \$0.00 International Search Report prepared and provided to the Office . . . . . . . . . \$400 \$0.00 \$500 TOTAL OF 21, 22 and 23 = \$ \$0.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets Extra Sheets** Number of each additional 50 or RATE fraction thereof (round up to a whole - 100 = \$ /50 =\$250.00 \$0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 30 \$ months from the earliest claimed priority date (37 CFR 1.492(h)). \$130.00 NUMBER FILED **NUMBER EXTRA** RATE 0 Total claims - 20 = x \$50.00 \$ \$0.00 0 Independent claims \$ \$0.00 X \$200.00 \$360.00 + \$ Multiple Dependent Claims (if applicable) \$ \$130.00 TOTAL OF ABOVE CALCULATIONS ☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ \$0.00 reduced by 1/2. \$130.00 SUBTOTAL = \$ Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). \$ \$ \$130.00 **TOTAL NATIONAL FEE** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per \$ \$130.00 TOTAL FEES ENCLOSED = \$ Amount to be refunded: 09/23/2005 MKAYPAGH 00000136 10526438 \$ 01 FC:1617 130.00 02 Amount to be \$ charged: a. X A check in the amount of \$130.00 to cover the above fees is enclosed. b. Please charge my Deposit Account in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment 19-2814 . A duplicate copy of this sheet is enclosed. to Deposit Account d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: **CUSTOMER NUMBER 20322** Michael K. Kelly Snell & Wilmer L.L.P. One Arizona Center Michael K. Kelly 400 E. Van Buren Street Phoenix, AZ 85004-2202 NAME P: 602-382-6291 F: 602-382-6070 32,848 REGISTRATION NUMBER



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Vrignia 22313-1450 www.usptu.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

Shinichi Yamamoto

49288.0900

10/528,438

INTERNATIONAL APPLICATION NO.

RECEIVED 20322 SHS

PCT/JP03/12040

SNELL & WILMER ONE ARIZONA CENTER 400 EAST VAN BUREN PHOENIX, AZ 850040001

SEP 1 2 2005

I.A. FILING DATE PRIORITY DATE 09/20/2002

SNELL & WILMER

**CONFIRMATION NO. 4301 371 FORMALITIES LETTER** \*OC00000016938131\*

\*OC000000016938131\*

09/19/2003

Date Mailed: 09/06/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/18/2005
- English Translation of the IA filed on 03/18/2005
- Copy of the International Search Report filed on 03/18/2005
- Information Disclosure Statements filed on 03/18/2005
- U.S. Basic National Fees filed on 03/18/2005
- Priority Documents filed on 03/18/2005
- Specification filed on 03/18/2005
- Claims filed on 03/18/2005
- Abstracts filed on 03/18/2005
- Drawings filed on 03/18/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

NOTED FOR RECEIPT

response due 11-6.05





Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

KAYA L LEWIS BALTIMORE

Telephone: (703) 308-9140 EXT 202

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/528,438	PCT/JP03/12040	49288.0900

FORM PCT/DO/EO/905 (371 Formalities Notice)